

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *						2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
FOSTER RONALD C						ADVANCED ENERGY INDUSTRIES INC [ AEIS ]								X Director 10% Owner				
(Last) (First) (Middle)				3. I	3. Date of Earliest Transaction (MM/DD/YYYY)							Officer (give title below) Other (specify below)						
1595 WYNKOOP STREET, SUITE 800						4/30/2020												
(Street)					4. I	4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)					
DENVER, CO 80202 (City) (State) (Zip)													X Form filed by One Reporting Person Form filed by More than One Reporting Person					
			Table						•					neficially Owne			1	
1.Title of Security (Instr. 3)  2. Trans. D							3. Trans. Co (Instr. 8)	de 4. Securities A or Disposed of (Instr. 3, 4 and		osed of (D	D) Fo		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			Ownership Form:	Beneficial	
								Code	V	Amoun	(A) or (D)	Prio	ce					Ownership (Instr. 4)
Common Stock				4/30/20	20			A		3500 (1	) A	\$0.0	00	45	5000 (2)		D	
	Tal	ble II - De	rivative	e Securi	ties l	Bene	ficially	Owned (	e.g.,	puts, c	alls, wa	ırran	ıts, o	options, conver	tible sec	urities)		
Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Dee Execution Date, if	on (Ins	rans. ( tr. 8)	ns. Code 5. Numb Derivati Acquire Dispose (Instr. 3		e Securities (A) or of (D)	Date Exercisable and Expiration Date			7. Title and Securities U Derivative S (Instr. 3 and		Underlying e Security	Inderlying Derivative Security Security		Ownership Form of Derivative Security: Direct (D) or Indirect	Beneficial
	Security			Code		V	(A)	(D)	Date Expiration Date Title Shar		nount or Number of nres		Reported or Transaction(s) (I					

### **Explanation of Responses:**

- (1) The restricted stock units granted on April 30, 2020 will vest 100% at the first anniversary date of the grant date. This is an annual non-employee director grant as outlined in the 2020 proxy.
- (2) At the date of this filing, Mr. Foster holds 38,000 shares in street name.

### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
reporting Owner Name / Address	Director	10% Owner	Officer	Other				
FOSTER RONALD C 1595 WYNKOOP STREET, SUITE 800 DENVER, CO 80202	X							

#### **Signatures**

/s/ Thomas O. McGimpsey - Attorney-in-Fact 5/4/2020

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.